



UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 29 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,441	03/24/2002	David P. Hesson	358381-104A

CONFIRMATION NO. 3384

FORMALITIES LETTER



OC000000007709139

25561
ALLEN BLOOM
C/O DECHERT
PRINCETON PIKE CORPORATION CENTER
P.O. BOX 5218
PRINCETON, NJ 08543-5218

Date Mailed: 03/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$168.
 ◻ \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1038.

05/31/2002 HHARZI1 00000008 10090441

01 FC:201	370.00 OP
02 FC:202	84.00 OP
03 FC:205	65.00 OP

A copy of this notice MUST be returned with the reply.

T. Seeger
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS
ORIGINALY FILED

X3

MP8



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hesson, et al. :
Serial No.: 10/090,441 : Group Art Unit No.: 1614
Filed: March 4, 2002 : Examiner: Unknown
For: Neuroprotectants Formulations
and Methods:

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 20, 2002.

By:

Sally B. Hansen
Sally B. Hansen

TRANSMITTAL LETTER

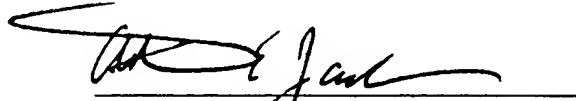
Transmitted herewith are the following documents in the above-identified application:

- ☒ Response to Notice of Missing Parts of Non-provisional Application and Copy of Notice;
- ☒ Declaration and Power of Attorney;
- ☒ Assignment and Recordation Form;
- ☒ Fee Transmittal Form;
- ☒ Check in the amount of \$559.00;
- ☒ Acknowledgment postcard.

Attorney Docket No. 358381-104A

Applicants request that any additional fees required by these papers be charged, or any overpayment be credited, to Deposit Account No. 04-0480.

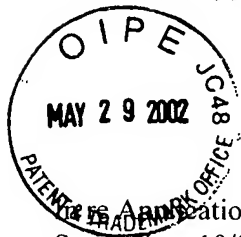
Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Arthur E. Jackson', is written over a horizontal line.

Arthur E. Jackson
Registration No. 34,354
Attorney for Applicant

DECHERT
P. O. Box 5218
Princeton, NJ 08543
Attn: Arthur E. Jackson, Esq.
(609) 620-3254
Fax: (609) 620-3259
133277.3.07

Docket No. 358381-104A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Hesson, et. al. : Group Art Unit: 1614
Serial No.: 10/090,441 : Examiner: Unknown
Filed: March 4, 2002
For: Neuroprotectants Formulations and Methods

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NON-PROVISIONAL APPLICATION**

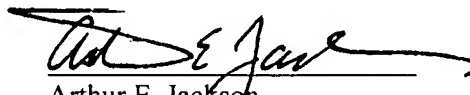
Sir:

This in response to the communication from the Patent Office entitled "Notice to File Missing Parts of Non-Provisional Application", mailed March 25, 2002. A duplicate copy of the Notice to File Missing Parts is enclosed.

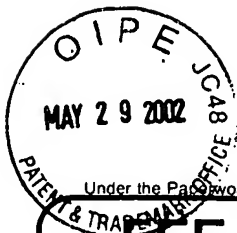
The notice indicates that the statutory basic filing fee was not paid and the oath or declaration is missing. The executed Declaration and Power of Attorney is enclosed herewith. Also enclosed is a check in the amount of \$559.00 which represents payment of the basic filing fee, two (2) independent claims over 3, fee for recordation of assignment and the late filing fee of oath or declaration surcharge. Any overpayment or refund should also be credited to Deposit Account No. 04-0480.

Respectfully submitted,

Date: May 20, 2002


Arthur E. Jackson
Registration No. 34,354
Attorney for Applicants

DECHERT PRICE & RHOADS
Princeton Pike Corporate Center
PO Box 5218
Princeton, NJ 08543-5218
Fax: 609.620.3259



PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**559.00**

Complete if Known

Application Number	10/090,441
Filing Date	March 4, 2002
First Named Inventor	Hesson
Examiner Name	Unknown
Group Art Unit	1614
Attorney Docket No.	358381-104A

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number **04-0480**
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)**370.00**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid	
Total Claims	16	-20** =	0	X	9	=0.00
Independent Claims	5	-3** =	2	X	42	=84.00
Multiple Dependent						=0.00

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**84.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**105.00**

SUBMITTED BY

Name (Print/Type)	Arthur E. Jackson	Registration No. (Attorney/Agent)	34,354	Telephone	609.620.3254
Signature		Date	May 20, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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